



Sector 8

Docket: 6944.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Badri N. Prasad	
Application No.:	09/733,215	
Filing Date:	December 8, 2000	Examiner: Unknown
Title:	METHOD FOR HIGH-RISK MEMBER IDENTIFICATION	Group Art Unit: 2166

**FILING OF MISSING PARTS  
OF APPLICATION UNDER 37 CFR §1.53(f)**

Box: **Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231


Dear Sir:

In response to the Notice To File Missing Parts of Application--Filing Date Granted, mailed February 5, 2001, the following are enclosed pursuant to 37 CFR § 1.53(f):


1. Fee Transmittal sheet (1 pg., in duplicate);
2. Check # 864695 for \$1,100.00;
3. Required copy of the Notice to File Missing Parts of Application (2 pgs);
4. Signed original Declaration and Power of Attorney (3 pgs); and
5. Submission of Formal Drawings (including 9 sheets of drawings).

Respectfully submitted,

Date: March 7, 2001

By:   
Jason R. Kraus  
Registration No. 42,765  
DORSEY & WHITNEY LLP  
Pillsbury Center South  
220 South Sixth Street  
Minneapolis, Minnesota 55402-1498  
Telephone: 612-340-5617

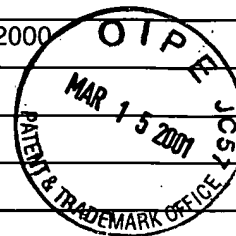
I hereby certify that the document is being deposited with the United States Postal Service as first Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 7, 2001 (Date of Deposit) by Dena Rootes (Name)  
  
Signature  
March 7, 2001  
Date of Signature

# APPLICATION FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known

Application No. 09/733,215  
Filing Date December 8, 2000  
First Named Inventor Badri Prasad  
Group Art Unit 2166  
Examiner Name Unknown  
Atty. Docket Number 6944.00



## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to:  
Deposit Account No.: 04-1420  
Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) 710.00

### 2. EXTRA CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below	Fee Paid
Total	30	- 20	= 10	x 18	= 180.00
Indep.	4	- 3	= 1	x 80	= 80.00
Multiple Dependent Claims			x		=

Subtotal (2) 260.00

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - Late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	280	945	Extension for reply within fifth month	
120	310	220	155	Filing a brief in support of an appeal	
121	260	270	135	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	10	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility/Reissue issue fee (inc. advance copies)	
143	440	243	220	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of IDS	
581	40	81	40	Recording each patent assignment per property (times number of properties)	
179	710	279	355	Request for Continued Examination (RCE)	

Other fee (specify)

Subtotal (3) 130.00

Total Amount f Paym nt:

1100.00

## Submitted by:

Name: Jason R. Kraus

Reg. No.: 42,765

Telephone: (612) 340-5617

Signature:

*Jason R. Kraus*

Date:

3-7-01



Docket: 6944.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Badri N. Prasad	
Application No.:	09/733,215	
Filing Date:	December 8, 2000	Examiner: Unknown
Title:	METHOD FOR HIGH-RISK MEMBER IDENTIFICATION	Group Art Unit: 2166

**SUBMISSION OF FORMAL DRAWINGS  
(UNDER 37 C.F.R. 1.85)**

Box: **Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:


The attached nine sheets of formal drawings (FIGS. 1-9), in compliance with 37 C.F.R. 1.84, are submitted pursuant to 37 C.F.R. 1.85. Please substitute the attached formal drawings (FIGS. 1-9) for the informal drawings (FIGS. 1-9), previously filed.

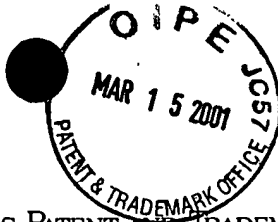
Respectfully submitted,

Date: March 7, 2001

By   
Jason R. Kraus  
Registration No. 42,765  
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220 South Sixth Street  
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March 7, 2001 (Date of Deposit) by Dena Rootes (Name)  
  
Signature  
March 7, 2001  
Date of Signature



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/733,215	12/08/2000	Badri N. Prasad	6944

CONFIRMATION NO. 3483

FORMALITIES LETTER



\*OC00000005734620\*

Jason R. Kraus  
220 South Sixth Street  
Minneapolis, MN 55402-1498

Date Mailed: 02/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/16/2001 BNGUYEN1 00000017 09733215

01 FC:101	710.00 OP
02 FC:103	180.00 OP
03 FC:102	80.00 OP
04 FC:105	130.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted .*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$260.
  - \$180 for 10 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1100.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

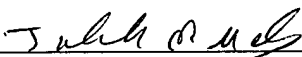
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a

bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE